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Name:

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DEBORAH C. ROBERTS

Clifford Chance Rogers & Wells LLP

09/885352
U.S. PTO
06/20/01

Docket No. 6208/25

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: GIOVANNI GUIDI and MARCO STEPHAN PAULETTI

Filed: Herewith Group Art Unit: N/A

Serial No: N/A Examiner: N/A

For: GAMMA TRADING TOOL

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon.

Applicants respectfully request the Examiner's consideration of the cited reference and entry into the record of this application and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

for Foreign Search Reports (and cited non-English references)

Also enclosed is a Foreign Search Report issued on _____ for a foreign counterpart of the present patent application, in which the presently disclosed references were cited. Since a translation of the Search Report is also enclosed, it is believed that the applicants in the above-identified patent application have now met the "concise explanation" requirement of 35 C.F.R. 1.98.

for Foreign Office Actions

Also enclosed is an office action for a counterpart foreign application, and a translation of that office action into the English language. This office action cites the foreign language documents on the attached Form 1449 and indicates the degree of relevance found by the foreign patent office. As a result, the "concise explanation," as required 37 CFR 1.98(3), has been provided. MPEP §609 A.

No fee due – submission prior to 1st action

The present Information Disclosure Statement has been submitted prior to the receipt of an Office Action in this application. Accordingly, no fee is due. However, in the event that a first Office Action was mailed on or before the date of submission of this disclosure statement, the Commissioner is hereby authorized to charge any fees to deposit Account No. 50-0521.

No fee due – submission of references cited in foreign search w/in 3 months

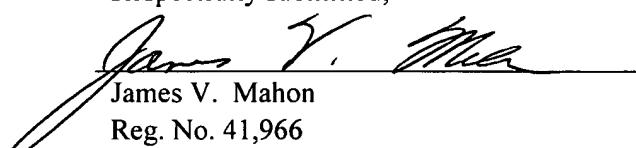
The present Information Disclosure Statement is being submitted after a First Action, but prior to a Notice of Allowance or Final Rejection. Pursuant to 37 C.F.R. 1.97(e), it is certified that the none of the presently disclosed documents were known to the applicant or any party representing the applicant, or were cited in a communication from a foreign patent office in a counterpart foreign application more than three months prior to the date of submission of this Information Disclosure Statement. Accordingly, no additional fee is required for consideration of these documents. However, in the event that additional fees are due for consideration of these documents, the Commissioner is hereby authorized to charge such fee to deposit Account No. 50-0521.

Fee for submission of IDS after 1st action

In accordance with 37 C.F.R. § 1.97(c), the Commissioner is authorized to charge the statutory fee of \$180.00 under 37 C.F.R. §1.17(p) and any additional fees required in connection with this Petition or to credit any overpayment to Deposit Account No. 50-0521. A duplicate copy of this document is enclosed.

Respectfully submitted,

Date: Jun 20, 2001


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U.S. PTO
06/20/01

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office				Serial No. N/A
INFORMATION DISCLOSURE STATEMENT				Inventors: GIOVANNI GUIDI and MARCO STEPHAN PAULETTI
(Use several sheets if necessary)				Docket No. 6208/25

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,061,662	5/9/00	Makivic			
	6,173,276B1	1/1/01	Kant et al.			
	6,021,402	2/1/00	Takriti			
	5,809,484	9/15/98	Mottola et al.			
	6,134,536	10/17/00	Shepherd			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.	

EXAMINER	DATE CONSIDERED
	Jun 20, 2001
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	